	L#	Hits	Search Text	DBs	Time Stamp
1	L1	32734 1	recover\$4	USPAT; US-PGPUB	2002/01/31 16:22
2	L2	55802	backup or back-up or (backing adj1 up)	USPAT; US-PGPUB	2002/01/31 16:22
3	L3	14410 8	restor\$4	USPAT; US-PGPUB	2002/01/31 16:23
4	L4	8572	state\$ adj1 information\$	USPAT; US-PGPUB	2002/01/31 16:25
5	L5	1730	1 same 2	USPAT; US-PGPUB	2002/01/31 14:30
6	L6	233	5 same 3	USPAT; US-PGPUB	2002/01/31 14:30
7	L7	0	6 same 4	USPAT; US-PGPUB	2002/01/31 14:31
8	L8	34	6 and 4	USPAT; US-PGPUB	2002/01/31 14:32
9	L9	17643	data adj1 file\$	USPAT; US-PGPUB	2002/01/31 14:35
10	L11	585	detect\$4 with (state\$ adj differen\$4)	USPAT; US-PGPUB	2002/01/31 14:44
11	L12	2447	fail\$4 adj4 computer\$	USPAT; US-PGPUB	2002/01/31 14:46
12	L13	1	10 and 11	USPAT; US-PGPUB	2002/01/31 14:50
13	L14	4	10 and 12	USPAT; US-PGPUB	2002/01/31 15:02
14	L15	521	2 adj3 program\$	USPAT; US-PGPUB	2002/01/31 15:05
15	L16	11	hard adj disk\$ adj configurat\$4	USPAT; US-PGPUB	2002/01/31 15:08
16	L17	449	3 adj3 computer\$	USPAT; US-PGPUB	2002/01/31 15:10
17	L18	1	10 and 15	USPAT; US-PGPUB	2002/01/31 15:11
18	L19	0	10 and 16	USPAT; US-P PUB	2002/01/31 15:11
19	L20	2	10 and 17	USPAT; US-P PUB	‡

	L#	Hits	Search Text	DBs	Time Stamp
20	L21	604	2 adj2 c mputer\$	USPAT; US-P PUB	2002/01/31 15:17
21	L22	25	21 with 1	USPAT; US-PGPUB	2002/01/31
22	L23	1	22 and framework	USPAT; US-PGPUB	2002/01/31 15:18
23	L10	15	8 and 9	USPAT; US-PGPUB	2002/01/31 15:31
24	L24	19	8 not 10	USPAT; US-PGPUB	2002/01/31 15:43
25	L25	2499	(714/?).ccls.	USPAT; US-PGPUB	2002/01/31 15:43
26	L26	50	6 and 25	USPAT; US-PGPUB	2002/01/31 15:43
27	L27	47	26 not 8	USPAT; US-PGPUB	2002/01/31 15:43
28	L28	29326 9	recover\$4	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:22
29	L29	36881	backup or back-up or (backing adj1 up)	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:22
30	L30	80194	restor\$4	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:23
31	L31	6115	state\$ adj1 information\$	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:25
32	L32	955	28 same 29	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:25
33	L33	110	32 same 30	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:26
34	L34	3	33 same 31	EPO; JPO; DERWENT; IBM_TDB	2002/01/31 16:26

DOCUMENT-IDENTIFIER: US 6240527 B1

TITLE: Method software and apparatus for saving using and recovering data

----- KWIC -----

BSPR:

Tape \underline{backup} focuses on $\underline{backing\ up}$ an entire disk or specific files at a given

moment in time. Typically the process will take a long time and is thus done

infrequently (e.g., in the evening). Incremental $\underline{backups}$ involve only saving

data that has changed since the last **backup**, thus reducing the amount of tape

and \underline{backup} time required. However, a fill system $\underline{recovery}$ requires that the

initial full system $\underline{\mathbf{backup}}$ and all subsequent incremental $\underline{\mathbf{backups}}$ be read and

combined in order to $\underline{\textbf{restore}}$ to the time of the last incremental backup.

BSPR:

A final example of why a user would want to revert to a backup is when the

operating system gets corrupted (the executable or $\underline{\text{data files}}$ that are essential to run a computer) due, for example, to installing new software or

device drivers that don't work.

BSPR:

The present invention is a method and apparatus for disk based information

recovery in computer systems. This applies to all types of computer systems

that utilize one or more hard disks (or equivalent), where the disks represent

a non-volatile storage system or systems. Such types of computers may be, but

are not limited to, personal computers, network servers, file servers, or

mainframes. The invention stipulates using the otherwise unused pages

special dedicated pages on a hard disk in a circular fashion to store the

recent original states of information on the disk that is altered. Collectively these extra pages represent a history buffer. These history pages

can be intermixed with the OS's data and thus the present invention relies on

re-mapping of disk locations between the OS and the actual hard disk. Using

the information stored in the history buffer, another mapping can be

made

through which the state of the entire disk (excluding the extra pages) can be

reconstructed for any time in the past for as far back as the history buffer $% \left(1\right) =\left(1\right) +\left(1\right$

contains information.

DEPR:

A computer's operating system (OS) typically stores information on a hard disk.

The example embodiments of the present invention present five fundamental

methods of recording the original <u>state of information</u> prior to its being

altered. The first four methods work substantially outside of the OS's method

of organizing and assigning its file to disk pages. They substantially differ

in performance and how they utilize the disk. The last method calls for

integrating the process of saving and retrieving original states of altered

information directly into the OS's filing system.

DEPR:

A basic purpose of the engine is to provide means for rolling back the state of

a disk to a previous time. This involves maintaining original and current

states and a mapping system to guide how these should be combined to create a

given state corresponding to some specific time in the past. In practice it is

not useful to restore a disk to a transitional state where information was in

the process of being updated. For example, if you were to save a word processing document, you would like to see the disk either before or after a

save. Restoring to the time during the write process should be avoided since

there is no guarantee as to what the user would see. Therefore, the concept of

a safe point is introduced which corresponds to times at which the disk is

reasonably usable. These times are identified from large gaps in disk activity, which are assumed to indicate the OS has flushed its caches, or

specific signals from the OS indicating such, when available.

DEPR:

When the OS overwrites data, the new data is placed in a CTMA block. Since the

 $\ensuremath{\text{new}}$ data is placed in unused pages in a CTMA block, diverting the writes here

inherently saves the overwritten <u>data</u>, <u>from the file's</u> viewpoint. How this

saved (historic) data is tracked is discussed shortly. For now this description will focus on writing the new data.

DEPR:

In addition to supplying the data and the associated location key, the OS, when

writing, can also supply a file identifier. If specified, this identifier

allows the engine to direct new data from different files to different CTMA

blocks. The engine allows a limited number of CTMA blocks to coexist in order

to support the OS simultaneously writing to a limited number of files. By

sending new data for each file to a different CTMA block, the engine de-fragments the files. As more CTMA blocks are supported at one time, the

historic data is more rapidly discarded.

DEPR:

There are two basic overwrite situations. The first is that a small amount of

data in a file is overwritten. In this case, assuming the file's
existing

allocation is optimal, it is best to swap the new data back in place while

moving out the original state. On the other hand, if most of the file is

overwritten, then it is best to leave the new data in its newly assigned

locations, since these locations are likely optimal. The goal in both cases is

reducing the amount of swapping. It is difficult to distinguish the cases at

the time of the write since one cannot anticipate how much more data will be

written in the future, and how fast (i.e., one could overwrite a file but over

a long period of time). Further, if a file's size changes then leaving the new

data where it is initially written likely reduces further re-arranging: If the

size shrinks, then there will be space to recover (packing); if it increases,

then perhaps separate areas will have to be combined.

DEPR:

All the methods thus far presented for saving original disk states are conceptually designed around a single disk. Of course, more than one disk may

be involved, with their collective storage pooled into one large logical disk.

The fault tolerance provided by the various methods deals with non-hardware

failures like the user accidentally overwriting a file or a bug in an application corrupting files. However, there is also the case of the

disk

actually ceasing to function (i.e., if it broke and the information it contained is lost). Recovery from such a failure typically involves installing

a new hard disk, re-installing the operating system, and then $\underline{{\tt restoring}}$ files

from a $\underline{\mathbf{backup}}$ tape or similar device. This is a time-consuming process and

often involves some loss of data, that which was affected after the backup.

DEPR:

Thus a guaranteed usable backup image is available, and depending on the lag in

transferring changes, this point is likely not too far back in time. With a

RAID system, protection is achieved from a physical disk drive <u>failure</u>, but

none is provided for the computer
of the

disk in transition.

DEPR:

There is a whole other category of <u>failures that occur in a computer</u> that have

nothing directly to do with the disk. They involve using an application over

an extended period of time during which information is manipulated in memory

and periodically (or at least at the session's conclusion) the information is

written to disk. A common failure results either from user errors or from bugs $% \left(1\right) =\left(1\right) +\left(1\right) +\left($

in the applications, where something goes terribly wrong. So wrong, that in

fact, there is no easy way to recover. Any unsaved work is lost. Although

some applications try to minimize how much unsaved work is at risk (by automatic saves), it is still common for crashes to occur and for users to lose

a substantial time investment in unsaved work.

DEPR:

The prior paragraph discusses a new process for creating an incremental backup

tape. In truth, although the tape contains all the necessary information to

restore data from various points within a window of time, the
organization of

the data on the tape is such that selective restoration (e.g., a single file)

is complicated. As a $\underline{\mathbf{backup}}$ of a disk drive and its Driver's data, restoration

of the entire tape to a disk and the subsequent use of the normal $\ensuremath{\mathsf{Driver}}$

software for recovery is the most natural and simplest means of accessing the

tape's data However, one may not always have an available disk drive to which

to **restore** the tape. Therefore, it is useful to include on the tape a directory that correlates the tape's data to their associated files, as written

at a certain time. Thus, when restoring data from tape, it is possible
to

consult the directory to determine the portions of the tape that need to be

read. This pre-analysis allows the tape to be read in a single pass (assuming

the directory is at the front of the tape). The directory can map all the $\ensuremath{\mathsf{T}}$

various versions of files throughout the backed up window of tune, or just at

one time. In the latter case, the tape must be $\underline{\text{restored}}$ to disk in order to

access files across the window of time.

CLPR:

12. A method of accessing the prior $\underline{\text{state of information}}$ on a disk, comprising:

CLPR:

14. A method of accessing the prior $\underline{\text{state of information}}$ on a disk comprising,

keeping a record of the role of disk-based data elements X and Y, as managed by

an operating system and associated files, in order to preserve original data in data element X;

CLPR:

16. A method of accessing the prior **state of information** on a disk, comprising:

CT.PR

17. A method of accessing the prior $\underline{\text{state of information}}$ on a disk as recited

in claim 16, further comprising:

CLPR:

18. A method of accessing the prior $\underline{\text{state of information}}$ on a disk as recited

in claim 17, wherein the releasing of oldest data frees disk space for other uses.

CLPR:

19. A method of accessing the prior $\underline{\text{state of information}}$ on a disk as recited

in claim 16, further comprising:

CLPR:

20. A method of accessing the prior $\underline{\text{state of information}}$ on a disk, comprising:

CLPR:

21. A method of accessing the prior $\underline{\text{state of information}}$ on a disk as recited

in claim 20, further comprising:

CLPR:

22. A method of accessing the prior **state of information** on a disk as recited

in claim 21, wherein the releasing of oldest data frees disk space for other $\ensuremath{\text{\text{o}}}$

uses.

CLPR:

23. A method of accessing the prior $\underline{\text{state of information}}$ on a disk as recited

in claim 20, further comprising:

DOCUMENT-IDENTIFIER: US 5987621 A

TITLE: Hardware and software failover services for a file server

----- KWIC -----

BSPR:

In accordance with a basic aspect of the invention, a network file server

includes a cached disk array storage subsystem and a plurality of server

computers linked to the cached disk array storage subsystem for responding to

requests for file access from the clients in the data network by transferring

data between the cached disk array storage subsystem and the data network. The

server computers are programmed for executing tasks for responding to requests

for file access from the clients in the data network. The server computers are

also programmed for detecting a **failure of one of the server computers** causing

interruption of execution of one of the tasks, and upon detecting the failure,

for causing another one of the server computers to resume automatically the

interrupted one of the tasks. In this fashion, the file server detects processor failure and resumes interrupted servicing of client requests with

little or no client involvement.

BSPR:

In a preferred embodiment, **failure of a server computer** is detected by a

failure to receive a signal that is normally transmitted by each of the server

computers on a periodic basis. For example, the server computers are linked by

a common data link over which each of the server computers transmits a "heartbeat" signal indicating the present condition of the server computer.

BSPR:

In the preferred embodiment, the server computers are programmed for executing $\ensuremath{\mathsf{E}}$

tasks by maintaining $\underline{\text{state information}}$ of the tasks in memory of the cached

 disk array storage subsystem, and the server computers are programmed for

resuming the interrupted tasks by recovering the **state information** for the

interrupted tasks from the memory of the cached disk array, and resuming

execution of the interrupted tasks using the recovered **state** information for

the interrupted tasks. The server computers are programmed for maintaining

state information of the tasks in the memory of the cached disk array
by

writing updates of the $\underline{\text{state information}}$ to a log file in the memory of the

cached disk array, and for recovering the $\underline{\text{state information}}$ of the interrupted

ones of the tasks by reading from the log file updates of the <u>state</u> <u>information</u>. The tasks are subdivided into a series of transactions, each of

the transactions includes a series of operations that can be repeated without

causing substantial disruption during the resuming of execution of the interrupted tasks, and the server computers are programmed for maintaining

state information
committing of the tasks in memory of the cached disk array by

results of each transaction to the memory of the cached disk array before

committing results of a next transaction in the series of transactions.

BSPR:

In the preferred embodiment, the inactive controller server is programmed to

respond automatically to a failure of the active controller server by resuming

interrupted tasks of the active controller server, and becoming active in

executing tasks for responding to requests for file access from clients in the

data network by selecting respective ones of the stream server computers to

service the requests. In particular, the active controller server is programmed for periodically sending a signal to the inactive controller server,

and for executing tasks by maintaining $\underline{\text{state information}}$ of the tasks in memory

of the cached disk array storage subsystem. The inactive controller server is $% \left(1\right) =\left(1\right) +\left(1$

programmed for responding to a failure to receive the signal by recovering the

state information
cached disk of the interrupted tasks from the memory of the

array storage system, and becoming active in executing tasks for responding to

requests for file access from clients in the data network. A flag, stored in

the memory of the cached disk array, indicates which of the controller servers

is active and which of the controller servers is inactive. The controller

servers are programmed to read the flag to determine whether or not to become

active in executing tasks for responding to requests for file access from $% \left(1\right) =\left(1\right) \left(1\right) +\left(1\right) \left(1\right) \left(1\right) +\left(1\right) \left(1\right$

clients in the data network. The inactive controller server is programmed to

become active in response to a failure to receive the signal by changing the

flag so that the active controller server becomes inactive. The active one of

the controller servers is programmed to read the flag before changing the **state**

information of the tasks in the memory of the cached disk array storage
subsystem, so that the active controller server will not change the
state

information of the tasks in the memory of the cached disk array a
certain time

after the inactive controller server becomes active.

BSPR:

In the preferred embodiment, the active controller server is programmed to

perform a stream server failover task for detecting a **failure of one of**the

stream server computers and in response selecting another one of the
stream

server computers to resume servicing of a client request interrupted by

failure of the stream server computer. When the active controller
server fails

to receive a "heartbeat" signal from a stream server computer, the active

controller server checks whether or not the failed stream server is currently

servicing any client requests. If so, the active controller server checks \boldsymbol{a}

failover mode selection signal included in the client request. The failover

mode selection signal indicates whether or not the active controller server

should operate in a transparent failover mode or in a client control failover

mode. In the transparent failover mode, the active controller server re-routes

a data stream from the stream server computer selected to resume servicing of $% \left(1\right) =\left(1\right) \left(1\right) +\left(1\right) \left(1\right) \left(1\right) +\left(1\right) \left(1\right) \left$

the client request. In the client control failover mode, the active controller

server informs the client of the stream server computer failure, and the client

re-routes a data stream from the stream server computer selected to resume

servicing of the client request. Re-routing of the data stream, for example,

involves the active controller server or the client re-programming an address

decoder in a network switch coupled to the steam server computers. It is

possible that neither the active controller server nor the client has the

capability of re-routing the data stream. For this reason, the controller

server is programmed to default to the client control failover mode when the $\ensuremath{\mathsf{C}}$

client has selected the transparent failover mode and the client but not the $\ensuremath{\mathsf{L}}$

controller server has the capability for re-routing a data stream from the $\ensuremath{\mathsf{c}}$

stream server computer selected to resume servicing of the client request.

DEPR:

In a preferred mode of operation, to archive $\frac{\text{data from a file}}{\text{data from a file}}$ from the network

to tape, one of the stream servers 21 receives the file from the network 25 and $\,$

prestages the file to the cached disk array 23 at a high rate limited by the

network transmission rate (about $150~\mathrm{GB/hour}$). Then one of the stream servers

21 destages the file from the cached disk array 23 to an associated one of the

read/write stations 51 at a tape device speed (about 7 GB/hour). For most

applications, prestaging to disk can be done immediately, and staging from \mbox{disk}

to tape including sorting of files onto respective tape cassettes can be done

as a background operation or at night, when the load on the video server is at

a minimum. In this fashion, the cached disk array 23 can absorb a high data

inflow aggregation from tens or hundreds of network links streaming from

multiple sites, and balance this load on the read/write stations 41. Prestaging to the cached disk array allows better use of the read/write stations 51, matching of server flow to tape streaming flow, and reduction of

tape and read/write station wear. Prestaging to the back-end also allows

multiple classes of backup and restore services, including instant backup for

files maintained on disk in the cached disk array, and temporary batch backup

pending a success or failure acknowledgment. Prestaging to the cached disk

array 23 also makes economical an on-line archive service performing the

staging from the cached disk array to tape as a background process.

DEPR:

In the processors of controller servers 28, 29, a software application is run

by a general purpose operating system such as Microsoft NT, and a

network

client communicates service requests to the video file server only through the

software application executing on an active one of the controller servers 28,

29. This software application executes as a central control to prevent the

video file server from performing conflicting operations in response to concurrent requests from various network clients. For example, the video file

server should not erase a file for one client while $\underline{\textbf{data from the file}}$ is being

streamed to another client.

DEPR:

Turning now to FIG. 19, there is shown a schematic diagram illustrating the

flow of data through the file server (20 in FIG. 1) in a "network backup"

operation. The stream servers 21 serve to funnel data from clients on the

network 25 into the cached disk array 23. The stream servers accept data at a

rate on the order of, typically, several megabits per second from each network

client (as determined by the existing network connections and remote backup

application capabilities). Each stream server sends data to the cached disk

array 23 at a rate which is the aggregate of all the streams received by the $\ensuremath{\mathsf{S}}$

stream server and can be on the order of about fifty to one hundred megabits

per second. The cached disk array in turn sends the backup data to the tape

silo 24 at the rate allowed by the capabilities of the tape silo--typically on $% \left\{ 1,2,\ldots ,n\right\}$

the order of 2 to 20 megabits per second, much less than the capabilities of $% \left(1\right) =\left(1\right) \left(1\right)$

the cached disk array. (Disk arrays are typically faster than tape silos, as

determined by the ratio of the concurrent number of disk read/write streams to

the number of tape read/write drives.) The cached disk array serves as a speed $% \left(1\right) =\left(1\right) +\left(1\right) +\left($

matching buffer and as a means for combining the $\underline{\text{data or files}}$ to be written to

a particular tape cartridge in the tape silo 24. Backup data can be streamed,

concurrently by all the stream servers, to the cached disk array 23 at an

aggregate speed on the order of 150 gigabytes per hour. The backup data are

then sorted and written to tape at a tape transport speed on the order of $7\,$

gigabytes per hour per device.

DEPR:

Because the cached disk array 23 may use a nonvolatile write buffer and well-known RAID techniques of error correction to recover from disk drive

failures, the cached disk array can acknowledge completion of a <u>backup</u> operation as soon as the data are written to the cached disk array. The actual

writing to tape could be done as a background process, mainly during off-peak

hours, when the stream servers are not heavily loaded by data transfers to and

from network clients. The cached disk array can provide "instant"

service for backup files maintained in the cached disk array. The cached disk

array can also provide temporary batch \underline{backup} , without writing to tape, pending

success or failure of transactions by clients that employ transactional semantics or transaction processing.

DEPR:

The subroutine depicted as a flowchart in FIGS. 23 and 24 uses the stripe set

identification number for specification of locations for accessing desired

continuous media data. In a data network, however, it is conventional for

network clients to specify desired <u>data by a file</u> name. Preferably, continuous

media data is logically organized as individually named files, which will be

referred to as "clips".

DEPR:

As shown in FIG. 2, the video file server 20 has dual redundant controller

servers 28, 29 and multiple stream servers 21 to permit recovery from controller server and stream server failures. Preferably, the recovery is

performed with little or no disruption of client services and little or

client involvement. The programming in the video file server 20 that coordinates the recovery operations is referred to as failover services. A

major portion of this programming is included in the controller server software. In general, controller server or stream server failover involves

recovery of $\underbrace{\text{state information}}_{\text{operations}}$ necessary for resuming the interrupted

of the failed controller server or stream server, and the resumption of

interrupted operations by the alternative controller server or an alternative stream server.

DEPR:

As shown in FIG. 2, the <u>state information</u> necessary for resuming the interrupted operations of the failed controller server is maintained in the

cached disk array 23 and normally resides at least in the cache memory $41\ \mathrm{of}$

the cached disk array 23. This $\underline{\text{state information}}$ includes "controller server

ephemeral atomic state" 501, and may also include $\underline{\text{state information}}$ in one of

the log files 502.

CLPR:

2. The file server as claimed in claim 1, wherein each of the server computers

is programmed for periodically sending a signal to the other server computers,

and wherein the other server computers are programmed to resume a task of said

each of the server computers in response to a $\underline{\textbf{failure of said each of}}$ the

server computers to periodically send the signal.

CLPR:

4. The file server as claimed in claim 1, wherein the plurality of server

computers are programmed for executing tasks by maintaining $\underline{\textbf{state}}$ information

of the tasks in memory of the cached disk array storage subsystem, and wherein

the server computers are programmed for resuming interrupted ones of the tasks

by recovering the $\underline{\text{state information}}$ for the interrupted ones of the tasks from

the memory of the cached disk array, and resuming execution of the interrupted

ones of the tasks using the recovered <u>state information</u> for the interrupted ones of the tasks.

CLPR:

5. The file server as claimed in claim 4, wherein the plurality of server

computers are programmed for maintaining $\underline{\text{state information}}$ of the tasks in the

memory of the cached disk array by writing updates of the $\underline{\textbf{state}}$ information to

a log file in the memory of the cached disk array, and for recovering the **state**

 $\frac{\text{information}}{\log \text{ file}}$ of the interrupted ones of the tasks by reading from the

updates of the state information.

CLPR:

6. The file server as claimed in claim 4, wherein the tasks are

subdivided

into a series of transactions, each of the transactions includes a series of

operations that can be repeated without causing substantial disruption during

the resuming of execution of the interrupted ones of the tasks, and the server

computers are programmed for maintaining $\underline{\text{state information}}$ of the tasks in

memory of the cached disk array by committing results of each transaction to

the memory of the cached disk array before committing results of a next transaction in the series of transactions.

CLPR:

9. The file server as claimed in claim 8, wherein the memory of the cached $\ensuremath{\text{3}}$

disk array stores system $\underline{\textbf{state information}}$ indicating which of the controller

servers is active and which of the controller servers is inactive, the controller servers are programmed to read the system state information

determine whether or not to become active in executing tasks for responding to

requests for file access from clients in the data network by selecting respective ones of the stream server computers to service the requests, and

wherein the inactive one of the controller servers is programmed to become

active in response to a failure to receive the signal by changing the system

state information so that the active one of the controller servers
becomes
inactive.

CLPR:

10. The file server as claimed in claim 9, wherein the active one of the

controller servers is programmed to read the system $\underline{\textbf{state information}}$ before

changing the $\underline{\text{state information}}$ of the tasks in the memory of the cached disk

array storage subsystem, so that the active one of the controller servers will

not change the $\underline{\text{\bf state information}}$ of the tasks in the memory of the cached disk

array a certain time after the inactive one of the controller servers becomes active.

CLPR:

11. The file server as claimed in claim 8, wherein the plurality of server

computers are programmed for maintaining $\underline{\text{state information}}$ of the tasks in the

memory of the cached disk array by writing updates of the state

information to

a log file in the memory of the cached disk array, and for recovering the state

 $\frac{\text{information}}{\log \text{ file}}$ of the interrupted ones of the tasks by reading from the

updates of the state information.

CLPR:

12. The file server as claimed in claim 8, wherein the tasks are subdivided

into a series of transactions, each of the transactions includes a series of

operations that can be repeated without causing substantial disruption during

the resuming of execution of the interrupted ones of the tasks, and the controller servers are programmed for maintaining **state information** of the

tasks in memory of the cached disk array by committing results of each transaction to the memory of the cached disk array before committing results of

a next transaction in the series of transactions.

CLPR:

13. The file server as claimed in claim 7, wherein the active one of the

controller servers is programmed to perform a stream server failover task for

detecting a $\underline{\text{failure of one of the stream server computers}}$ and in response

selecting another one of the stream server computers to resume servicing of ${\tt a}$

client request interrupted by the <u>failure of one of the stream server</u> computers.

CLPR:

14. The file server as claimed in claim 13, wherein the controller servers and

the stream server computers share a data link over which are periodically

transmitted status signals including a status signal transmitted from the

active one of the controller servers to the inactive one of the controller $% \left(1\right) =\left(1\right) \left(1\right)$

servers and a status signal transmitted from each of the stream server computers to the active one of the controller servers, and wherein the inactive

one of the controller servers is programmed for detecting a failure of the

active one of the controller servers upon failing to receive the status signal

from the active one of the controller servers, and wherein the active one of

the controller servers is programmed for detecting a $\frac{\text{failure of one of}}{\text{the}}$

stream server computers upon failing to receive the status signal from
one of

the stream server computers.

CLPR:

18. The file server as claimed in claim 15, wherein the client request includes a failover mode selection signal, and wherein the controller server is

programmed for checking the failover mode selection signal to select one of ${\tt a}$

plurality of predetermined modes for resuming servicing of the client request

found to have been interrupted by the **failure of one of the stream**

server

computers.

CLPR:

25. The method as claimed in claim 24, wherein each of the server computers

periodically sends a signal to the other server computers, and wherein one of

the other server computers resumes a task of said each of the server computers

in response to a <u>failure of said each of the server computers</u> to periodically send the signal.

CLPR:

26. The method as claimed in claim 24, wherein the plurality of server computers execute tasks by maintaining <u>state information</u> of the tasks in memory

of the cached disk array storage subsystem, and wherein the server computers

resume interrupted ones of the tasks by recovering the $\underline{\text{state}}$ information for

the interrupted ones of the tasks from the memory of the cached disk array, and

resuming execution of the interrupted ones of the tasks using the recovered

state information for the interrupted ones of the tasks.

CLPR:

27. The method as claimed in claim 26, wherein the plurality of server computers maintain **state information** of the tasks in the memory of the cached

disk array by writing updates of the $\underline{\text{state information}}$ to a log file in the

memory of the cached disk array, and recovering the **state information** of the

interrupted ones of the tasks by reading from the log file updates of the **state**

information.

CLPR:

28. The method as claimed in claim 26, wherein the tasks are subdivided into a series of transactions, each of the transactions includes a series of

operations that can be repeated without causing substantial disruption during

the resuming of execution of the interrupted ones of the tasks, and the controller servers maintain <u>state information</u> of the tasks in memory of the

cached disk array by committing results of each transaction to the memory of

the cached disk array before committing results of a next transaction in the series of transactions.

CLPR:

31. The method as claimed in claim 30, wherein the memory of the cached disk

array stores system $\underline{\textbf{state information}}$ indicating which of the controller

servers is selected to be active and which of the controller servers is selected to be inactive, the controller servers read the system state
information
to determine whether or not to become active in executing tasks for

responding to requests for file access from clients in the data network by

selecting respective ones of the stream server computers to service the requests, and wherein the inactive one of the controller servers becomes active

in response to a failure to receive the signal by changing the system ${f state}$

information so that the active one of the controller servers becomes
inactive.

CLPR:

32. The method as claimed in claim 31, wherein the active one of the controller servers reads the system **state information** before changing the **state**

information of the tasks in the memory of the cached disk array storage subsystem, so that the active one of the controller servers will not change the

state information
certain of the tasks in the memory of the cached disk array a

time after the inactive one of the controller servers becomes active.

CLPR:

33. The method as claimed in claim 30, wherein the controller servers maintain

state information
by of the tasks in the memory of the cached disk array

writing updates of the <u>state information</u> to a log file in the memory of the

cached disk array, and recovering the $\underline{\text{state information}}$ of the interrupted ones

of the tasks by reading from the log file updates of the $\underline{\text{state}}$ information.

CLPR:

34. The method as claimed in claim 30, wherein the tasks are

subdivided into a

series of transactions, each of the transactions includes a series of operations that can be repeated without causing substantial disruption during

the resuming of execution of the interrupted ones of the tasks, and the controller servers are programmed for maintaining **state information** of the

tasks in memory of the cached disk array by committing results of each transaction to the memory of the cached disk array before committing results of

a next transaction in the series of transactions.

CLPR:

35. The method as claimed in claim 29, wherein the active one of the controller servers performs a stream server failover task for detecting a

failure of one of the stream server computers
another
and in response selecting

one of the stream server computers to resume servicing of a client request

interrupted by the failure of one of the stream server computers.

CLPR:

36. The method as claimed in claim 35, wherein the controller servers and the

stream server computers share a data link over which are periodically transmitted status signals including a status signal transmitted from the

active one of the controller servers to the inactive one of the controller

servers and a status signal transmitted from each of the stream server computers to the active one of the controller servers, and wherein the inactive

one of the controller servers detects a failure of the active one of the

controller servers upon failing to receive the status signal from the active

one of the controller servers, and wherein the active one of the controller

servers detects a **failure of one of the stream server computers** upon failing to

receive the status signal from one of the stream server computers.

CLPR:

40. The method as claimed in claim 37, wherein the client request includes a

failover mode selection signal, and wherein the controller server checks the

failover mode selection signal to select one of a plurality of predetermined

modes for resuming servicing of the client request found to have been interrupted by the failure of one of the stream server computers.

CLPV:

wherein the interrupted one of the tasks includes a series of transactions, and

said plurality of server computers are programmed to record $\underline{\textbf{state}}$ information

for the interrupted one of the tasks during execution of the interrupted one of

the tasks, and for resuming automatically the interrupted one of the tasks by

recovering the recorded $\underline{\textbf{state information}}$ for the interrupted one of the tasks

including state information from at least one transaction completed
prior to

the interruption of the interrupted one of the tasks, and resuming execution of

a next one of the transactions in the interrupted one of the tasks using the

recovered <u>state information</u> from said at least one of the transactions completed prior to the interruption of the interrupted one of the tasks.

CLPV:

wherein an interrupted one of said tasks includes a series of transactions, and

the controller servers are programmed to record $\underline{\textbf{state information}}$ for the

interrupted one of the tasks during execution of the interrupted one of the

tasks, and for resuming automatically the interrupted one of the tasks by

recovering the recorded **state information** for the interrupted one of the tasks

including state information from at least one transaction completed
prior to

the interruption of the interrupted one of the tasks, and resuming execution of

a next one of the transactions in the interrupted one of the tasks using the $% \left(1\right) =\left(1\right) \left(1\right)$

recovered <u>state information</u> from said at least one of the transactions completed prior to the interruption of the interrupted one of the tasks.

CLPV:

the active one of the controller servers is programmed for periodically sending

a signal to the inactive one of the controller servers, and for executing tasks

by maintaining $\underline{\textbf{state information}}$ of the tasks in memory of the cached disk

array storage subsystem, and

CLPV:

the inactive one of the controller servers is programmed for responding to a

failure to receive the signal by recovering the $\underline{\textbf{state information}}$ of the

interrupted tasks from the memory of the cached disk array storage system, and

becoming active in executing tasks for responding to requests for file

access

from clients in the data network by selecting respective ones of the stream

server computers to service the requests.

CLPV:

wherein the controller server is programmed to perform a stream server failover

task for detecting a **failure of one of the stream server computers** and in

response selecting another one of the stream server computers to resume servicing of a client request interrupted by the $\frac{\text{failure of one of the}}{\text{stream}}$

server computers;

CLPV:

wherein the stream servers are programmed to execute tasks to service client

requests, and an interrupted one of the tasks includes a series of transactions, and said stream server computers are programmed to record state

information for the interrupted one of the tasks during execution of
the

interrupted one of the tasks, and upon interruption of the interrupted one of

the tasks, for resuming automatically the interrupted one of the tasks by

recovering the recorded **state information** for the interrupted one of the tasks

including <u>state information</u> from at least one transaction completed prior to

the interruption of the interrupted one of the tasks, and resuming execution of

a next one of the transactions in the interrupted one of the tasks by using the

recovered state information from said at least one of the transactions completed prior to the interruption of the interrupted one of the tasks.

CLPV:

wherein the file server is programmed to perform stream server failover, in

response to a failure of said one of the stream server computers, in which

another one of the stream server computers resumes servicing of a

request interrupted by the **failure of said one of the stream server** computers,

and

CLPV:

wherein the client request includes a failover mode selection signal, and

wherein the file server is programmed for checking the failover mode selection

signal to select one of a plurality of predetermined modes for resuming servicing of the client request found to have been interrupted by the failure

of said one of the stream server computers.

CLPV:

(b) detecting a **failure of one of the server computers** causing interruption of

execution of one of the tasks, and upon detecting the **failure**, **causing** another

one of the server computers
of the
tasks;

CLPV:

wherein the interrupted one of the tasks includes a series of transactions,

execution of the interrupted one of the tasks includes recording $\underline{\text{state}}$ $\underline{\text{information}}$ from at least one transaction completed prior to the interruption

of the interrupted one of the tasks, and resuming automatically the interrupted

one of the tasks includes recovering the recorded state information
from said

at least one transaction completed prior to the interruption of the interrupted

one of the tasks, and resuming execution of a next one of the transactions in

the interrupted one of the tasks using the recovered $\underline{\text{state information}}$ from

said at least one of the transactions completed prior to the interruption of

the interrupted one of the tasks.

CLPV:

wherein an interrupted one of said tasks includes a series of transactions, and

the active one of the controller servers records $\underline{\textbf{state information}}$ for the

interrupted one of the tasks during execution of the interrupted one of the

tasks, and the inactive one of the controller servers resumes automatically the

interrupted one of the tasks by recovering the recorded **state** information for

the interrupted one of the tasks including **state information** from at least one

transaction completed prior to the interruption of the interrupted one of the

tasks, and resuming execution of a next one of the transactions in the interrupted one of the tasks using the recovered **state information** from said at

least one of the transactions completed prior to the interruption of

interrupted one of the tasks.

CLPV:

the active one of the controller servers periodically sends a signal to the

inactive one of the controller servers, and executes tasks by maintaining state

information of the tasks in memory of the cached disk array storage
subsystem,

and

CLPV:

the inactive one of the controller servers responds to a failure to receive the

signal by recovering the $\underline{\textbf{state information}}$ of the interrupted tasks from the

memory of the cached disk array storage system, and becoming active in executing tasks for responding to requests for file access from clients in the

data network by selecting respective ones of the stream server computers to $% \left(1\right) =\left(1\right) \left(1\right) +\left(1\right) \left(1\right) \left(1\right) +\left(1\right) \left(1\right) \left($

service the requests.

CLPV:

the controller server performing a stream server failover task including the

detection of a **failure of one of the stream server computers**, and in response

selecting another one of the stream server computers to resume servicing of \boldsymbol{a}

client request interrupted by the <u>failure of one of the stream server</u> computers; and

CLPV:

said another one of the stream server computers resuming the servicing of the

client request having been interrupted by the <u>failure of one of the</u> stream

server computers;

CLPV:

wherein the servicing of the client request interrupted by the **failure** of one

of the stream server computers includes execution of an interrupted

including a series of transactions, execution of the interrupted task includes

recording <u>state information</u> from at least one transaction completed prior to

the interruption of the interrupted task, and the resuming of servicing of the

client request having been interrupted by the <u>failure of one of the</u>

server computers includes recovering the recorded state information
from said

at least one transaction completed prior to the interruption of the

interrupted

task, and resuming execution of a next one of the transactions in the interrupted task using the recovered <u>state information</u> from said at least one of the transactions completed prior to the interruption of the interrupted task.

CLPV:

the file server performing stream server failover in response to a failure of

said one of the stream server computers in which another one of the
stream

server computers resumes servicing of a client request interrupted by the

failure of said one of the stream server computers;

CLPV:

wherein the client request includes a failover mode selection signal, and

wherein the file server checks the failover mode selection signal to select one

of a plurality of predetermined modes for resuming servicing of the client

request found to have been interrupted by the **failure of said one of** the stream

server computers.

CLPW:

(b) detecting a **failure of one of the server computers** causing interruption of

execution of one of the tasks, and upon detecting the **failure**, **for** causing

another one of the server computers
interrupted one
of the tasks;